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Practitioner's Docket No. 1348/111

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: J. Ian Percival

Application No.: 10/709,040

Group No.: 2186

Filed: 04/08/2004

Examiner: Not Assigned

For: A Method and System for Coherently Caching I/O Devices Across a Network

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is a Preliminary Amendment for this application.

STATUS

2. Applicant is a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

(When using *Express Mail*, the *Express Mail* label number is *mandatory*;  
*Express Mail* certification is *optional*.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

☒ with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Signature

Date: June 9, 2004

Robert M. Asher

(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY			
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE		
TOTAL	110	- 63	= 47	x \$ 9.00	= \$	423.00	
INDEP.	21	- 12	= 9	x \$ 43.00	= \$	387.00	
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$ 0.00	= \$	0.00	
				TOTAL ADDIT. FEE	\$	810.00	

Total additional fee for claims required \$810.00

### FEE PAYMENT

5. Attached is a check in the sum of \$810.00.

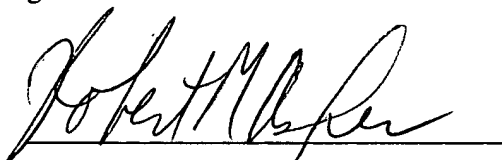
A duplicate of this paper is attached.

### FEE DEFICIENCY

6. If an additional extension and/or fee is required, charge Account No. 19-4972.

If an additional fee for claims is required, charge Account No. 19-4972.

Date: June 9, 2004



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01348/00111 315920.1

Application Serial No.: 10/709,040  
Amendment dated June 9, 2004  
Preliminary Amendment

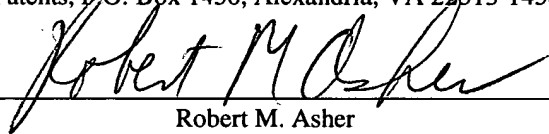


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Percival Att'y Docket: 1348/111  
App. No.: 10/709,040 Art Unit: 2186  
Filing Date: April 8, 2004 Examiner: N/A  
For: **A Method and System for Coherently Caching I/O Devices Across a Network** Date: June 9, 2004

CERTIFICATE OF MAILING

I hereby certify that this document, along with any other papers referred to as being attached or enclosed, is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **June 9, 2004**.

  
Robert M. Asher

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT**

Sir:

**Amendments to the Claims** are reflected in the listing of claims which begin on page 2 of this paper.

**Remarks/Arguments** begin on page 17 of this paper

06/15/2004 CCHAUI 00000139 10709040

01 FC:2202  
02 FC:2201

423.00 OP  
387.00 OP